

# **P-ADIC NUMBERS**

by

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# Abstract

This paper focuses on the  $p$ -adic numbers and some of the properties of them. We start by defining a norm and the  $p$ -adic absolute value and prove that it is a norm on the rational numbers. In doing so we also show that the  $p$ -adic norm is a non-Archimedean norm which has strange properties compared to the ordinary (Archimedean) norm. Then we define the  $p$ -adic numbers and show that it is a field that is complete with respect to the  $p$ -adic norm. Then we introduce Hensel's lemma which we can use for showing that  $p$ -adic solutions exist to polynomial equations and then find them. Then we expand Hensel's lemma to apply to a system of multivariable polynomials, and show that Fermat's last theorem has  $p$ -adic solutions. Finally we extend the  $p$ -adic norm to finite field extensions of the  $p$ -adic numbers.

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# Chapter 1

## ***p*-adic Numbers**

Before we can use the  $p$ -adic numbers we first have to define them, but to do that we will first need to define a few other basic terms.

### **1.1 Norms**

**Definition 1.1.1** Let  $X$  be a non-empty set. A **metric** on  $X$  is a function  $d$  taking a pairs of elements  $(x, y)$  of  $X$  to the non-negative real numbers such that:

- (1)  $d(x, y) = 0$  iff  $x = y$
- (2)  $d(x, y) = d(y, x)$
- (3)  $d(x, y) \leq d(x, z) + d(z, y), \forall z \in X$  (triangle inequality).

A set with a metric, such as  $d$  in the definition above, is called a *metric space*.

**Definition 1.1.2** A **norm**, denoted  $\| \cdot \|$ , on a field  $F$  is a map from  $F$  to the non-negative real numbers such that:

- (1)  $\|x\| = 0$  iff  $x = 0$
- (2)  $\|x \cdot y\| = \|x\| \cdot \|y\|$

$$(3) \|x + y\| \leq \|x\| + \|y\|.$$

The metric  $d$  we are dealing with is  $d(x, y) = \|x - y\|$ . The normal absolute value,  $|x|$ , is an example of a norm on the rational numbers and the metric  $d(x, y) = |x - y|$  is the usual concept of distance on the number line.

## 1.2 $p$ -adic Norm

Now that we have a norm defined, we can work towards defining the  $p$ -adic norm.

**Definition 1.2.1** Let  $p$  be any prime number. For any non-zero integer  $a$ , let  $\text{ord}_p a$  be the highest power  $p$  which divides  $a$ , i.e., the greatest  $m$  such that  $a \equiv 0 \pmod{p^m}$ . Recall that  $a \equiv b \pmod{p} \Rightarrow c \mid (a - b)$ .

**Example 1.2.2**  $\text{ord}_5 125 = 3$ ,  $\text{ord}_5 50 = 2$ ,  $\text{ord}_2 32 = 5$ ,  $\text{ord}_2 96 = 5$ ,  $\text{ord}_7 98 = 2$ ,  $\text{ord}_7 99 = 0$  (we agree that if  $a = 0$  then  $\text{ord}_p a = \infty$ ).

Note: These behave in a similar fashion to logarithms:

$$\text{ord}_p(a \cdot b) = \text{ord}_p a + \text{ord}_p b$$

$$\text{ord}_p(a/b) = \text{ord}_p a - \text{ord}_p b$$

Also  $\text{ord}_p(ab + cd) \geq \min(\text{ord}_p ab + \text{ord}_p cd)$ , because if  $n$  is the minimum order then  $p^n \mid ab$ ,  $p^n \mid cd$ , and  $p^n \mid (ab + cd)$ .

**Definition 1.2.3** Now we define the map  $|\cdot|_p$  on  $\mathbb{Q}$  as:

$$|x|_p = \begin{cases} 1/p^{\text{ord}_p x}, & \text{if } x \neq 0 \\ 0, & \text{if } x = 0 \end{cases}$$

We call this map the  $p$ -adic absolute value.

**Example 1.2.4**  $|25|_5 = \frac{1}{5^2} = \frac{1}{25}$

$$|25|_3 = \frac{1}{5^0} = 1$$

$$|\frac{18}{27}|_3 = \frac{3^3}{3^2} = \frac{27}{9} = 3$$

$$|1 - 50|_7 = |-49|_7 = \frac{1}{7^2} = \frac{1}{49} \text{ (7-adic "distance" between 1 and 50)}$$

$$|\frac{1}{9} + \frac{1}{16}|_5 = |\frac{25}{144}|_5 = \frac{5^0}{5^2} = \frac{1}{25} \text{ (5-adic "distance" between } \frac{1}{9} \text{ and } -\frac{1}{16}\text{)}.$$

**Proposition 1.2.5** The  $p$ -adic absolute value  $|\cdot|_p$  is a norm on  $\mathbb{Q}$ .

**Proof:** We need to check the three properties of a norm.

Property (1) says  $|x|_p = 0$  iff  $x = 0$ . Let us assume  $x = 0$ , then by the definition of the  $p$ -adic norm  $|x|_p = 0$ . Now we assume  $|x|_p = 0$ , then:

$$|x| = 1/p^{\text{ord}_p x} = 0 \Rightarrow \text{ord}_p x = \infty \Rightarrow x = 0.$$

This proves property (1).

The second property of a norm states  $|x \cdot y|_p = |x|_p \cdot |y|_p$ , then:

$$|x \cdot y|_p = \frac{1}{p^{\text{ord}_p(x \cdot y)}} = \frac{1}{p^{\text{ord}_p x + \text{ord}_p y}} = \frac{1}{p^{\text{ord}_p x} \cdot p^{\text{ord}_p y}} = \frac{1}{p^{\text{ord}_p x}} \cdot \frac{1}{p^{\text{ord}_p y}} = |x|_p \cdot |y|_p$$

this proves property (2).

Property (3) states  $|x+y|_p \leq |x|_p + |y|_p$ . If  $x = 0, y = 0$ , or  $x+y = 0$  then property (3) becomes trivial, so let us assume they are all non-zero. Let  $x = \frac{a}{b}$  and  $y = \frac{c}{d}$ , then  $x + y = (ad + bc)/bd$ . This implies that  $\text{ord}_p(x + y) = \text{ord}_p(ad + bc) - \text{ord}_p bd$ . Now the highest power of  $p$  dividing  $x + y$  is greater than or equal to the minimum of the highest power dividing  $x$  and the highest power dividing  $y$ :

$$\begin{aligned} \text{ord}_p(x + y) &\geq \min(\text{ord}_p ad, \text{ord}_p bc) - \text{ord}_p bd \\ &= \min(\text{ord}_p a + \text{ord}_p d, \text{ord}_p b + \text{ord}_p c) - \text{ord}_p b - \text{ord}_p d \\ &= \min(\text{ord}_p a - \text{ord}_p b, \text{ord}_p c - \text{ord}_p d) \\ &= \min(\text{ord}_p x, \text{ord}_p y). \end{aligned}$$

So,  $|x + y|_p = 1/p^{\text{ord}_p(x+y)} \leq \max(1/p^{\text{ord}_p x}, 1/p^{\text{ord}_p y}) = \max(|x|_p, |y|_p) \leq |x|_p + |y|_p$ .

This proves property (3) and thus finishes the proof.  $\square$

So we have now defined  $|\cdot|_p$  to be the  $p$ -adic norm. But you might notice, from the proof, that this norm has a stronger property than an ordinary norm.

**Definition 1.2.6** If  $\|x + y\| \leq \max(\|x\|, \|y\|)$  for a norm always holds, then that norm is called **non-Archimedean**. If  $d(x, y) \leq \max(d(x, z), d(z, y))$ , then the metric defined by  $d$  is non-Archimedean. More particularly, a non-Archimedean metric is a metric that is induced by a non-Archimedean norm:

$$d(x, y) = |x - y| = |(x - z) + (z - y)| \leq \max(|x - z|, |z - y|) = \max(d(x, z), d(z, y)).$$

This is the stronger property that is mentioned above. In the proof above we showed that  $|x + y|_p \leq \max(|x|_p, |y|_p)$ . Thus,  $|\cdot|_p$  is a non-Archimedean norm on  $\mathbb{Q}$ .

A norm or metric is called *Archimedean* simply if said norm or metric is not non-Archimedean. An example of an Archimedean norm on  $\mathbb{Q}$  is the ordinary absolute value.

In any metric space  $X$ , a *Cauchy sequence*  $\{a_0, a_1, a_2, \dots\}$  of elements of  $X$  is defined that for any  $\varepsilon > 0$ , there exists an  $N$  such that  $d(a_m, a_n) < \varepsilon$ , when  $n, m > N$ .

If we have a metric  $d_1$  on  $X$  and there is a sequence  $S$  that is Cauchy with respect to  $d_1$ , then a metric  $d_2$  on  $X$  is *equivalent* to  $d_1$  if and only if  $S$  is also Cauchy with respect to  $d_2$ . We say two norms are equivalent if they induce equivalent metrics.

The way we usually think about distance is obviously based on the Archimedean norm  $\|\cdot\|$ . The non-Archimedean metric has properties that seem unusual or strange, here is an example:

For any field the triangle inequality ( $\|x + y\| \leq \|x\| + \|y\|$ ) says that the sum of two sides is greater than or equal to the third side. But what about when we have a non-Archimedean norm on a field? The triangle inequality for a non-Archimedean norm is  $\|x + y\| \leq \max(\|x\|, \|y\|)$ . Now suppose that  $\|x\| < \|y\|$ , then  $\|x + y\| \leq \|y\|$ . But  $\|y\| = \|(x + y) - x\| \leq \max(\|x + y\|, \|x\|)$  and we have  $\|y\| > \|x\|$  so  $\|y\| \leq \|x + y\|$ . This implies that  $\|y\| = \|x + y\|$ . This means that at least two sides of a “triangle” in a non-Archimedean field are equal, which means that every “triangle” is isosceles.

In the case of  $|\cdot|_p$  on  $\mathbb{Q}$ , this says that if two rational numbers are divisible by different powers of  $p$ , then the sum of the two numbers is divisible by the lower power of  $p$ .

The “isosceles triangle principle” is what we call the non-Archimedean principle that  $\|x \pm y\| \leq \max(\|x\|, \|y\|)$ , with equality holding when  $\|x\| \neq \|y\|$ .

### 1.3 Review of building up Complex numbers

Now we have a new concept of distance between two rational numbers: if two rational numbers have a difference divisible by a large power of  $p$ , they are considered to be close. For us to work with the “ $p$ -adic metric” we have to expand  $\mathbb{Q}$ , the in a way similar to how the real numbers,  $\mathbb{R}$ , and then the complex number,  $\mathbb{C}$ , are constructed in the usual Archimedean metric  $|\cdot|$ .

Let us now review how this is done:

Let us start with the natural numbers,  $\mathbb{N} = \{1, 2, 3, \dots\}$ . Every step in going from  $\mathbb{N}$  to  $\mathbb{C}$  can be analyzed in terms of a desire to do two things:

- (1) Solve polynomial equations
- (2) Find the limit of Cauchy sequences (i.e., “complete” the number system such



that every Cauchy sequence has a limit in the new number system).

From  $\mathbb{N}$  we can introduce the integers,  $\mathbb{Z}$ , as solutions to equations of the form:

$$a + x = b \quad a, b \in \mathbb{N}.$$

Now the rationals can be introduced as solutions to equations of the form:

$$ax = b \quad a, b \in \mathbb{Z}.$$

One way to build up the real numbers is to consider the set  $S$  of Cauchy sequences of rational numbers. Let  $s_1 = \{a_j\} \in S$  and  $s_2 = \{b_j\} \in S$  be two Cauchy sequences that are equivalent, and write  $s_1 \sim s_2$  if  $|a_j - b_j| \rightarrow 0$  as  $j \rightarrow \infty$ . We now define  $\mathbb{R}$  to be the set of *equivalence classes* of Cauchy sequences of rational numbers.

Showing that  $\mathbb{R}$  is a field by defining addition, multiplication, and the additive and multiplicative inverses of the equivalence classes of the Cauchy sequences is not hard.

Next, we wanted numbers that could solve  $x^2 + 1 = 0$ . Then when  $i = \sqrt{-1}$  was introduced and the field of complex numbers of the form:

$$a + bi \quad a, b \in \mathbb{R}.$$

was defined, it turned out that  $\mathbb{C}$  was algebraically closed (this means that every polynomial equation with coefficients in  $\mathbb{C}$  have solutions in  $\mathbb{C}$ . This is the fundamental theorem of algebra) and  $\mathbb{C}$  is complete with respect to a unique norm ( $|a + bi| = \sqrt{a^2 + b^2}$ ) that extends the usual norm  $||$  on  $\mathbb{R}$ .

Since  $\mathbb{C}$  is complete the process of finding field extensions stops here. The completion of  $\mathbb{C}$  was done with only a quadratic extension of  $\mathbb{R}$ , which means it was obtained by adjoining solutions to the quadratic equation  $x^2 + 1 = 0$ . So  $\mathbb{C}$  is algebraically

closed with respect to the Archimedean metric, but it is not algebraically closed with respect to  $|\cdot|_p$ .

## 1.4 The field of $p$ -adic numbers

Let  $\{a_i\}$  be a sequence of rational numbers such that, if given an  $\varepsilon > 0$ , there exists an  $N$  such that  $|a_i - a_j|_p < \varepsilon$  if both  $i, j > N$ . For two Cauchy sequences  $\{a_i\}$  and  $\{b_i\}$ , if  $|a_i - b_i|_p \rightarrow 0$  as  $i \rightarrow \infty$ , then the two Cauchy sequences are equivalent. The set of equivalence classes of Cauchy sequences we call  $\mathbb{Q}_p$ , the  $p$ -adic numbers.

**Definition 1.4.1** The  $p$ -adic norm of an equivalence class  $a$  is  $\lim_{i \rightarrow \infty} |a_i|_p$ , where  $\{a_i\}$  is any representative of  $a$ .

If we have two equivalence classes  $a$  and  $b$  of Cauchy sequences with representatives  $\{a_i\}$  and  $\{b_i\}$  respectively, we can define  $a \cdot b$  to be the equivalence class that is represented by the Cauchy sequence  $\{a_i b_i\}$ . If we also have  $\{a'_i\}$  and  $\{b'_i\}$  then we would have

$$\begin{aligned} |a'_i b'_i - a_i b_i|_p &= |a'_i(b'_i - b_i) + b_i(a'_i - a_i)|_p \\ &\leq \max(|a'_i(b'_i - b_i)|_p, |b_i(a'_i - a_i)|_p). \end{aligned}$$

The expressions approach  $|a|_p \cdot \lim |b'_i - b_i|_p = 0$  and  $|b|_p \cdot \lim |a'_i - a_i|_p = 0$  as  $i \rightarrow \infty$ . This implies that  $\{a'_i b'_i\} \sim \{a_i b_i\}$ .

The multiplicative inverse  $\{1/a_i\}$  will be Cauchy unless  $|a_i|_p \rightarrow 0$ . And if  $\{a_i\} \sim \{a'_i\}$ , then  $a_i = a'_i$  for all  $i$ , then  $1/a_i = 1/a'_i$ , which implies  $\{1/a_i\} \sim \{1/a'_i\}$  (if all  $a_i$  and  $a'_i$  are non-zero).

Now we define  $a + b$  to be equivalence class represented by the Cauchy sequence  $\{a_i + b_i\}$ . Once again if we have another two Cauchy sequences then we would have:

$$\begin{aligned} |(a'_i + b'_i) - (a_i + b_i)|_p &= |a'_i - a_i + b'_i - b_i|_p \\ &\leq \max(|a'_i - a_i|_p, |b'_i - b_i|_p) \end{aligned}$$

As  $i \rightarrow \infty$  the two expressions go to  $\lim(|a'_i - a_i|_p) = 0$  and  $\lim(|b'_i - b_i|_p) = 0$  respectively. Hence  $\{a'_i + b'_i\} \sim \{a_i + b_i\}$ . The additive inverse is defined very easily.

Now with addition, multiplication, and inverses as defined above we show that the set of equivalence classes of Cauchy sequences,  $\mathbb{Q}_p$ , is a field.

Let the Cauchy sequences  $\{a_i\}, \{b_i\}, \{c_i\}$  be representatives of  $a, b, c \in \mathbb{Q}_p$ .

Now let us check for the distributive law;  $a(b + c)$  is the equivalence class of:

$$\{a_i(b_i + c_i)\} = \{a_i b_i + a_i c_i\}.$$

So  $ab + ac$  is also an equivalence class. Hence  $a(b + c) = ab + ac$ , so the distributive law holds.

Now the associative law;  $a + (b + c)$  is the equivalence class of:

$$\{a_i + (b_i + c_i)\} = \{a_i + b_i + c_i\} = \{(a_i + b_i) + c_i\}.$$

So  $(a + b) + c$  is also an equivalence class. Hence  $a + (b + c) = (a + b) + c$ , so the associative law holds.

Now commutative law;  $a + b$  is the equivalence class of:

$$\{a_i + b_i\} = \{b_i + a_i\}.$$

So  $b + a$  is also an equivalence class. Hence  $a + b = b + a$ , so the commutative law holds. This proves that  $\mathbb{Q}_p$  is a field.

**Proposition 1.4.2** The field of  $p$ -adic numbers,  $\mathbb{Q}_p$ , is complete with respect to  $|\cdot|_p$ .

**Proof:** Suppose we have a Cauchy sequence  $\{x_n\}$  in  $\mathbb{Q}_p$ . Then given an  $\varepsilon > 0$ , there exists an  $N$  such that  $|x_i - x_j| < \varepsilon$  when  $i, j > N$ . Now let  $\varepsilon = 1/p^k$ , then since  $\{x_i\}$  is Cauchy, then  $|x_i - x_j|_p < 1/p^k$  which implies:

$$1/p^{\text{ord}_p(x_i - x_j)} < 1/p^k \implies p^{\text{ord}_p(x_i - x_j)} > p^k \implies \text{ord}_p(x_i - x_j) > k.$$

But this implies that the first  $k$  terms of  $x_i$  and  $x_j$  are the same, and this is the same as making the sequence terms arbitrarily close to some  $p$ -adic number. So any sequence in  $\mathbb{Q}_p$  that is Cauchy with respect to  $|\cdot|_p$  will converge. Thus the field  $\mathbb{Q}_p$  is Complete.  $\square$

**Lemma 1.4.3** If  $x \in \mathbb{Q}$  and  $|x|_p \leq 1$ , then for any  $i$  there exists an integer  $\alpha$  such that  $|\alpha - x|_p \leq p^{-i}$ . We can choose  $\alpha$  the set  $\{0, 1, 2, \dots, p^i - 1\}$ .

**Proof:** Let  $x = \frac{a}{b}$  be in lowest terms. Now  $p$  does not divide  $b$  since  $|x|_p \leq 1$ , so  $b$  and  $p^i$  are relatively prime. So now we can find integers  $n, m$  such that  $mb - np^i = 1$ . Now let  $\alpha = am$  and we have:

$$\begin{aligned} |\alpha - x|_p &= \left| am - \frac{a}{b} \right|_p = \left| \frac{a}{b} (mb - 1) \right|_p = \left| \frac{a}{b} \right|_p |mb - 1|_p \\ &\leq |mb - 1|_p \\ &= |np^i|_p = |n|_p / p^i \\ &= 1/p^i. \end{aligned}$$

Now, we can add a multiple of  $p^i$  to  $\alpha$  to get a number in the set  $\{0, 1, 2, \dots, p^i - 1\}$  for which  $|\alpha - x|_p \leq \frac{1}{p^i}$  still holds. This proves the lemma.  $\square$

**Theorem 1.4.4** Every equivalence class  $a \in \mathbb{Q}_p$  for which  $|a|_p \leq 1$  has exactly one representative Cauchy sequence of the form  $\{a_i\}$  for which:

$$(1) \ 0 \leq a_i < p^i, \text{ for } i = 1, 2, 3, \dots$$

$$(2) \ a_i \equiv a_{i+1} \pmod{p^i}, \text{ for } i = 1, 2, 3, \dots$$

**Proof:** First we have to prove uniqueness. Assume  $\{a'_i\} \neq \{a_i\}$  is also a sequence that satisfies properties (1) and (2). If  $a'_{i_0} \neq a_{i_0}$  then  $a'_{i_0} \not\equiv a_{i_0} \pmod{p^{i_0}}$ , since both  $a'_{i_0}, a_{i_0}$  are in the set  $\{0, 1, 2, \dots, p^{i_0} - 1\}$ . Then, for all  $i \geq i_0$ , we will have  $a_i \equiv a_{i_0} \not\equiv a'_{i_0} \equiv a'_i \pmod{p^{i_0}}$ , which implies  $a_i \not\equiv a'_i$ . So

$$|a_i - a'_i|_p \leq \frac{1}{p^{i_0}}, \text{ for all } i \geq i_0$$

Hence,  $\{a_i\} \not\sim \{a'_i\}$ .

Now suppose that we have a Cauchy sequence  $\{b_i\}$ , we want to find a similar sequence  $\{a_i\}$  that satisfy (1) and (2). For every  $j = 1, 2, 3, \dots$ , let  $N(j)$  be a natural number such that  $|b_i - b_{i'}|_p \leq 1/p^j$ , when  $i, i' \geq N(j)$ . For all  $i' \geq N(1)$ , if  $i \geq N(1)$  then

$$\begin{aligned} |b_i|_p &\leq \max(|b_{i'}|_p, |b_i - b_{i'}|_p) \\ &\leq \max(|b_{i'}|_p, \frac{1}{p}) \end{aligned}$$

which implies that  $|b_i|_p \leq 1$  and as  $i' \rightarrow \infty, |b_{i'}|_p \rightarrow |a|_p \leq 1$ .

Using the lemma above we can find a sequence of integers  $a_j \in \{0, 1, 2, \dots, p_j\}$ , such that  $|a_j - b_{N(j)}|_p \leq 1/p^j$ . For  $\{a_j\}$  to be such a sequence we need  $a_{j+1} \equiv a_j \pmod{p^j}$  and  $\{b_i\} \sim \{a_j\}$ .

$$\begin{aligned} |a_{j+1} - a_j|_p &= |a_{j+1} - b_{N(j+1)} + b_{N(j+1)} - b_{N(j)} - (a_j - b_{N(j)})|_p \\ &\leq \max(|a_{j+1} - b_{N(j+1)}|_p, |b_{N(j+1)} - b_{N(j)}|_p, |a_j - b_{N(j)}|_p) \\ &\leq \max(1/p^{j+1}, 1/p^j, 1/p^j) \\ &= 1/p^j. \end{aligned}$$

This implies that  $a_{j+1} \equiv a_j \pmod{p^j}$ .

$$\begin{aligned}
|a_i - b_i|_p &= |a_i - a_j + a_j - b_{N(j)} - (b_i - b_{N(j)})|_p \\
&\leq \max(|a_i - a_j|_p, |a_j - b_{N(j)}|_p, |b_i - b_{N(j)}|_p) \\
&\leq \max(1/p^j, 1/p^j, 1/p^j) \\
&= 1/p^j.
\end{aligned}$$

As  $i \rightarrow \infty$ ,  $|a_i - b_i|_p \rightarrow 0$  which implies that  $\{a_i\} \sim \{b_i\}$ . This proves the theorem.  $\square$

If  $a \in \mathbb{Q}_p$  does not satisfy  $|a|_p \leq 1$ , then we can multiply  $a$  by the power of  $p$  that equals  $|a|_p$ , say the  $m^{\text{th}}$  power of  $p$ , to get an  $a' = ap^m \in \mathbb{Q}_p$  that does satisfy  $|a'|_p \leq 1$ . Then, as in the theorem,  $a'$  is represented by the sequence  $\{a'_i\}$  and  $a = a'/p^m$  is represented by the sequence  $\{a_i\}$ .

We can now write all  $a'_i$  in the sequence  $\{a'_i\}$  to the base  $p$  with coefficients  $b_0, b_1, \dots, b_{i-1} \in \{0, 1, 2, \dots, p-1\}$ :

$$a'_i = b_0 + b_1p + b_2p^2 + \dots + b_{i-1}p^{i-1}.$$

The condition  $a'_i \equiv a'_{i+1} \pmod{p^i}$  means that:

$$a'_{i+1} = b_0 + b_1p + b_2p^2 + \dots + b_{i-1}p^{i-1} + b_i p^i.$$

So  $a'_i$  can be thought of as a number to the base  $p$ .

Then the original  $p$ -adic number  $a$  can be thought of as decimal number to the base  $p$  that has finitely many digits corresponding to negative powers of  $p$ , but infinitely many digits corresponding to positive powers of  $p$ :

$$a = \frac{b_0}{p^m} + \frac{b_1}{p^{m-1}} + \frac{b_2}{p^{m-2}} + \dots + \frac{b_{m-1}}{p} + b_m + b_{m+1}p + b_{m+2}p^2 + \dots$$

We call this equality the “ $p$ -adic expansion” of  $a$ .

We define the set of  $p$ -adic integers to be:

$$\mathbb{Z}_p = \{x \in \mathbb{Q}_p \mid |x|_p \leq 1\}.$$

Let  $a \in \mathbb{Q}_p$  then,  $|a|_p = 1/p^{\text{ord}_p a} \leq 1 \implies \text{ord}_p a \geq 0 \implies$  all powers of  $p$  are positive. So if the  $p$ -adic expansion of a number in  $\mathbb{Q}_p$  has no negative powers of  $p$ , that number is a  $p$ -adic integer. Addition and multiplication in  $\mathbb{Z}_p$  is closed so  $\mathbb{Z}_p$  is a subring of  $\mathbb{Q}_p$ .

Now we define the  $p$ -adic units to be:

$$\mathbb{Z}_p^* = \{x \in \mathbb{Z}_p \mid 1/x \in \mathbb{Z}_p\} = \{x \in \mathbb{Z}_p \mid |x|_p = 1\} = \{x \in \mathbb{Z}_p \mid x \not\equiv 0 \pmod{p}\}.$$

**Theorem 1.4.5** A series converges in  $\mathbb{Q}_p$  iff its terms approach zero.

**Proof:** Suppose that  $\{a_i\}$  is a sequence in  $\mathbb{Q}_p$  such that  $|a_i|_p \rightarrow 0$  as  $i \rightarrow \infty$ . Then the partial sums  $S_n = a_0 + a_1 + \dots + a_n$  are Cauchy since for  $n > m$ :

$$|S_n - S_m|_p = |a_{m+1} + \dots + a_n|_p \leq \max(|a_{m+1}|_p, \dots, |a_n|_p)$$

which goes to zero and both  $n, m \rightarrow \infty$ . Since  $\mathbb{Q}_p$  is complete this implies convergence.

Now suppose we have a series that converges in  $\mathbb{Q}_p$ , then it is Cauchy. Given an  $\varepsilon > 0$  there exists an  $N$  such that for  $n, m > N$ ,  $|S_n - S_m|_p < \varepsilon$ . In particular  $|S_{n+1} - S_n|_p = |a_{n+1}|_p < \varepsilon$ . Thus as  $n \rightarrow \infty$ , the terms approach zero.  $\square$

## 1.5 Arithmetic in $\mathbb{Q}_p$

Addition, subtraction, multiplication, and division of the  $p$ -adic numbers works a lot like the same operations on decimals, but with  $p$ -adic numbers you work from left to right instead of right to left.

**Example 1.5.1** Some examples in  $\mathbb{Q}_7$ :

$$\begin{array}{r}
 6 + 3 \cdot 7 + 2 \cdot 7^2 + \dots \\
 \times 4 + 1 \cdot 7 + 5 \cdot 7^2 + \dots \\
 \hline
 3 + 1 \cdot 7 + 3 \cdot 7^2 + \dots \\
 \phantom{3 + } 6 \cdot 7 + 3 \cdot 7^2 + \dots \\
 + \phantom{3 + 6 \cdot 7 + } 2 \cdot 7^2 + \dots \\
 \hline
 3 + 0 \cdot 7 + 2 \cdot 7^2 + \dots
 \end{array}$$

$$\begin{array}{r}
 3 \cdot 7^{-1} + 2 \cdot 7^0 + 2 \cdot 7^1 + \dots \\
 - 4 \cdot 7^{-1} + 4 \cdot 7^0 + 5 \cdot 7^1 + \dots \\
 \hline
 6 \cdot 7^{-1} + 4 \cdot 7^0 + 3 \cdot 7^1 + \dots
 \end{array}$$

$$\begin{array}{r}
 3 + 5 \cdot 7 + 1 \cdot 7^2 + \dots \quad \left. \vphantom{3 + 5 \cdot 7 + 1 \cdot 7^2 + \dots} \right) \begin{array}{r}
 5 + 1 \cdot 7 + 6 \cdot 7^2 + \dots \\
 \hline
 1 + 2 \cdot 7 + 4 \cdot 7^2 + \dots \\
 1 + 6 \cdot 7 + 1 \cdot 7^2 + \dots \\
 \hline
 3 \cdot 7 + 2 \cdot 7^2 + \dots \\
 3 \cdot 7 + 5 \cdot 7^2 + \dots \\
 \hline
 4 \cdot 7^2 + \dots \\
 \hline
 4 \cdot 7^2 + \dots
 \end{array}
 \end{array}$$

If  $a = \sum_{i=k}^{\infty} a_i p^i$  is the  $p$ -adic expansion of  $a$ , where  $k < 0$ , then another way to represent the  $p$ -adic expansion is  $\dots a_4 a_3 a_2 a_1 a_0 . a_{-1} a_{-2} \dots a_k$ . An example is if  $b = 2p^{-2} + 6p^{-1} + 1 + 2p + 3p^2 + 5p^3 + \dots$ , then  $b$  can also be represented as  $b = \dots 5321.62$ .



The example 1.5.1(a) above can also be represented like this:

$$\begin{array}{r}
 \dots 236 \\
 \times \quad \dots 514 \\
 \hline
 \dots 313 \\
 \dots 360 \\
 + \quad \dots 200 \\
 \hline
 \dots 346
 \end{array}$$

Which as you can see makes it easier to represent more digits in a  $p$ -adic number.

Here are a few interesting things about  $p$ -adic numbers, 7-adics particularly in this case:

$$\begin{array}{r}
 \dots 3333334 \\
 + \quad \dots 3333334 \\
 \hline
 \dots 0000001
 \end{array}
 \qquad
 \begin{array}{r}
 \dots 6666666 \\
 + \quad \dots 0000001 \\
 \hline
 \dots 0000000
 \end{array}$$

Since a 7-adic integer added to itself equals 1, this implies that “one-half” is a 7-adic integer. Also  $\dots 6666666$  is  $-1$  in the 7-adics since we added 1 to it and got 0.

Now let us try to see if  $\sqrt{n}$  is in  $\mathbb{Q}_p$ , where  $n \in \mathbb{Z}$ . In other words we want to find  $a_0, a_1, a_2, \dots$  ;  $0 \leq a_i \leq (p-1)$ , such that  $(a_0 + a_1 \cdot p + a_2 \cdot p^2 + \dots)^2 = n$

**Example 1.5.2** Let us try with  $n = 2$  and  $p = 7$  (i.e., is  $\sqrt{2}$  in  $\mathbb{Q}_7$ ?).

$$(a_0 + a_1 \cdot 7 + a_2 \cdot 7^2 + \dots)^2 = 2 + 0 \cdot 7 + 0 \cdot 7^2 + \dots$$

$$(a_0 + a_1 \cdot 7 + a_2 \cdot 7^2 + \dots)^2 = 2$$

$$\Rightarrow a_0^2 \equiv 2 \pmod{7}$$

$$a_0^2 \equiv 9 \pmod{7}$$

$$a_0 \equiv 3 \pmod{7}$$

So set  $a_0 = 3$

$$\text{So now we have: } (3 + a_1 \cdot 7)^2 \equiv 2 \pmod{7^2}$$

$$9 + 6a_1 \cdot 7 \equiv 2 \pmod{7^2}$$

$$6a_1 \cdot 7 \equiv -7 \pmod{7^2}$$

$$6a_1 \equiv -1 \pmod{7}$$

$$6a_1 \equiv 6 \pmod{7}$$

$$a_1 \equiv 1 \pmod{7}$$

So set  $a_1 = 1$

$$\text{Now we have: } (3 + 1 \cdot 7 + a_2 \cdot 7^2)^2 \equiv 2 \pmod{7^3}$$

$$(10 + a_2 \cdot 7^2) \equiv 2 \pmod{7^3}$$

$$100 + 20a_2 \cdot 7^2 \equiv 2 \pmod{7^3}$$

$$20a_2 \cdot 7^2 \equiv -98 \pmod{7^3}$$

$$20a_2 \equiv -2 \pmod{7}$$

$$6a_2 \equiv 12 \pmod{7}$$

$$a_2 \equiv 2 \pmod{7}$$

So set  $a_2 = 2$

By Hensel's lemma, introduced in the next chapter, we can continue on this pattern and we get:

$$(3 + 1 \cdot 7 + 2 \cdot 7^2 + \dots) = \sqrt{2} \in \mathbb{Q}_7.$$

**Example 1.5.3** What about  $n = 3$  and  $p = 7$ ?

$$(a_0 + a_1 \cdot 7 + a_2 \cdot 7^2 + \dots)^2 = 3 + 0 \cdot 7 + 0 \cdot 7^2 + \dots$$

$$(a_0 + a_1 \cdot 7 + a_2 \cdot 7^2 + \dots)^2 = 3$$

$$a_0^2 \equiv 3 \pmod{7}$$

$$1^2 = 1, 2^2 = 4, 3^2 = 9 \equiv 2, 4^2 = 16 \equiv 2, 5^2 = 25 \equiv 4, 6^2 = 36 \equiv 1 \pmod{7}.$$

As you can see no squares are equivalent to  $3 \pmod{7}$ , so  $a_0^2 \not\equiv 3 \pmod{7}$ , which implies  $\sqrt{3} \notin \mathbb{Q}_7$ .

# Chapter 2

## Hensel's Lemma

### 2.1 Hensel's Lemma

We now want to find  $p$ -adic solutions to polynomial equations, to do this we use Hensel's lemma.

**Theorem 2.1.1 (Hensel's Lemma):**

Let  $F(x) = c_0 + c_1x + c_2x^2 + \dots + c_nx^n$  be a polynomial whose coefficients are integers, with formal derivative  $F'(x) = c_1 + 2c_2x + 3c_3x^2 + \dots + nc_nx^{n-1}$ . Let  $a_0$  be in  $\{0, 1, \dots, p-1\}$  such that  $F(a_0) \equiv 0 \pmod{p}$  and  $F'(a_0) \not\equiv 0 \pmod{p}$ . Then there exists a unique  $p$ -adic integer  $a$  such that  $F(a) = 0$  and  $a \equiv a_0 \pmod{p}$ .

**Proof:** We need to find a  $p$ -adic integer  $a$  such that  $F(a) \equiv 0 \pmod{p^n}$  for all  $n$  which implies  $F(a) = 0$ . We need to construct a sequence of integers  $a_1, a_2, a_3, \dots$ , such that the following 3 properties all hold when  $n \geq 1$ :

$$(1) \quad F(a_n) \equiv 0 \pmod{p^{n+1}}$$

$$(2) \quad a_n \equiv a_{n-1} \pmod{p^n}$$

$$(3) \quad 0 \leq a_n < p^{n+1}.$$

We use induction on  $n$  to prove that such an  $a_n$  exists.

Let us begin with  $n = 1$ , then there is a unique integer  $\tilde{a}_0 \in \{0, 1, \dots, p-1\}$  such that  $\tilde{a}_0 \equiv a_0 \pmod{p}$ . We need an  $a_1$  that satisfies (2) and (3), so we need  $a_1 \equiv a_0 \pmod{p^2}$  where  $a_1 \in \{0, 1, \dots, p^2-1\}$ , this implies  $a_1 = \tilde{a}_0 + b_1p$ , where  $b_1 \in \{0, 1, \dots, p-1\}$ . Now we check property (1); since we are looking for solutions mod  $p^2$ , then any term where the power of  $p$  is 2 or more can be ignored:

$$\begin{aligned}
F(a_1) &= F(\tilde{a}_0 + b_1p) = \sum_{i=0}^n c_i(\tilde{a}_0 + b_1p)^i \\
&= \sum_{i=0}^n (c_i\tilde{a}_0^i + ic_i\tilde{a}_0^{i-1}b_1p + \text{terms divisible by } p^2) \\
&\equiv \sum_{i=0}^n c_i\tilde{a}_0^i + \left(\sum_{i=0}^n ic_i\tilde{a}_0^{i-1}\right)b_1p \pmod{p^2} \\
&= F(\tilde{a}_0) + F'(\tilde{a}_0)b_1p.
\end{aligned}$$

Since  $a_0 \equiv \tilde{a}_0 \pmod{p}$ , then  $F(a_0) \equiv F(\tilde{a}_0) \equiv 0 \pmod{p}$ , then we can write  $F(\tilde{a}_0) \equiv \alpha p \pmod{p^2}$  for some  $\alpha \in \{0, 1, \dots, p-1\}$ . So in order to get  $F(a_1) \equiv 0 \pmod{p^2}$  we must get  $\alpha p + F'(\tilde{a}_0)b_1p \equiv 0 \pmod{p^2}$  which is equivalent to  $\alpha + F'(\tilde{a}_0)b_1 \equiv 0 \pmod{p}$ . But, since  $F'(\tilde{a}_0) \equiv F'(a_0) \not\equiv 0 \pmod{p}$ , then we can solve for  $c_1$ . By using lemma 1.4.3 then we let  $b_1 \in \{0, 1, \dots, p-1\}$  be a unique integer so that  $b_1 \equiv \frac{-\alpha}{F'(\tilde{a}_0)} \pmod{p}$ . This  $b_1$  for  $a_1 = \tilde{a}_0 + b_1p$  satisfies properties (1), (2), and (3).

Continuing with the induction, suppose we already have already found  $a_1, a_2, \dots, a_{n-1}$  that satisfy (1), (2), and (3). We want to find  $a_n$  that satisfy (2) and (3), So  $a_n = a_{n-1} + b_np^n$  with  $b_n \in \{0, 1, \dots, p-1\}$ . We expand  $F(a_{n-1} + b_np^n)$  like we did in the first step of induction, but now terms that divisible by  $p^{n+1}$  are ignored:

$$\begin{aligned}
F(a_n) &= F(a_{n-1} + b_np^n) \\
&\equiv F(a_{n-1}) + F'(a_{n-1})b_np^n \pmod{p^{n+1}}.
\end{aligned}$$

We know that  $F(a_{n-1}) \equiv 0 \pmod{p^n}$  so we can write, by the induction assumption,  $F(a_{n-1}) \equiv \beta p^n \pmod{p^{n+1}}$ , now  $F(a_n) \equiv 0 \pmod{p^{n+1}}$  becomes:

$$\beta p^n + F'(a_{n-1})b_n p^n \equiv 0 \pmod{p^{n+1}}$$

$$\beta + F'(a_{n-1})b_n \equiv 0 \pmod{p}.$$

Since  $a_{n-1} \equiv a_0 \pmod{p}$ , then like before  $F'(a_{n-1}) \equiv F'(a_0) \not\equiv 0 \pmod{p}$ , now we can find  $b_n \in \{0, 1, \dots, p-1\}$ . Proceeding like we did when looking for  $b_1$ , we solve  $b_n \equiv \frac{-\beta}{F'(a_{n-1})} \pmod{p}$ . This  $a_n = a_{n-1} + b_n p^n$  satisfies (1), (2), and (3) and proves the claim.

Now, let

$$a = \tilde{a}_0 + b_1 p + b_2 p^2 + \dots$$

Notice that  $a \equiv a_n \pmod{p^{n+1}}$ , so  $F(a) \equiv F(a_n) \equiv 0 \pmod{p^{n+1}}$ , for all  $n$ , it follows that the  $p$ -adic number  $F(a) = 0$ . Also  $a = \tilde{a}_0 + b_1 p + b_2 p^2 + \dots$  gives a unique sequence of  $a_n$  as in the claim, and that uniqueness implies the uniqueness of  $a$ . This proves Hensel's lemma.  $\square$

Now to apply Hensel's lemma. Finding  $\sqrt{2} \in \mathbb{Q}_7$ , like in example 1.5.2, is the same as finding  $p$ -adic solutions to the polynomial  $x^2 - 2 = 0$ .

**Example 2.1.2** Let  $f(x) = x^3 + 2x^2 + 2x + 4$ , let us look for 5-adic solutions. You will notice that  $x_0 = 3$  is a solution to  $f(x_0) \equiv 0 \pmod{5}$ . Also  $f'(x_0) = 3x_0^2 + 4x_0 + 2 = 27 + 12 + 2 = 41 \not\equiv 0 \pmod{5}$ . So  $f(x)$  satisfies Hensel's lemma, this implies that there exists a unique  $p$ -adic number,  $x$ , that solves  $f(x) = 0$ .

## 2.2 Expanding Hensel's Lemma

Now we will expand Hensel's lemma to apply to a system of multivariable polynomials, as long as there are as many polynomials as variables.

**Theorem 2.2.1** Let  $f(x, y)$  and  $g(x, y)$  be multivariable polynomials with integer coefficients and a Jacobian matrix of:

$$J(x, y) = \begin{pmatrix} \frac{\partial f}{\partial x} & \frac{\partial f}{\partial y} \\ \frac{\partial g}{\partial x} & \frac{\partial g}{\partial y} \end{pmatrix}$$

Let  $x_0, y_0 \in \{0, 1, \dots, p-1\}$  such that  $f(x_0, y_0) \equiv g(x_0, y_0) \equiv 0 \pmod{p}$  and  $\det(J(x_0, y_0)) \not\equiv 0 \pmod{p}$ . Then there exists a unique  $x$  and  $y$  belonging to the  $p$ -adic integers such that  $f(x, y) = g(x, y) = 0$  and  $x \equiv x_0$  and  $y \equiv y_0 \pmod{p}$ .

**Proof:** First we construct two series  $x_1, x_2, x_3, \dots$  and  $y_1, y_2, y_3, \dots$  all in  $\{0, 1, \dots, p-1\}$  such that these three properties all hold for when  $n \geq 1$ :

- (1)  $f(x_n, y_n) \equiv g(x_n, y_n) \equiv 0 \pmod{p^{n+1}}$
- (2)  $x_n \equiv x_{n-1}, y_n \equiv y_{n-1} \pmod{p^n}$
- (3)  $0 \leq x_n, y_n < p^{n+1}$ .

Let  $n = 1$  to start this off. Choose  $x_0, y_0 \in \{0, 1, \dots, p-1\}$  that satisfy  $f(x_0, y_0) \equiv g(x_0, y_0) \equiv 0 \pmod{p}$  and  $\det(J(x_0, y_0)) \not\equiv 0 \pmod{p}$ . Now we need  $x_1, y_1$  that satisfy properties (2) and (3) above, so  $x_1 = x_0 + t_1p$  and  $y_1 = y_0 + s_1p$ , where  $t_1, s_1 \in \{0, 1, \dots, p-1\}$ . Then using multivariable Taylor series we get

$$\begin{aligned} f(x_1, y_1) &= f(x_0 + t_1p, y_0 + s_1p) \\ &\equiv f(x_0, y_0) + \frac{\partial f(x_0, y_0)}{\partial x} [(x_0 + t_1p) - x_0] + \frac{\partial f(x_0, y_0)}{\partial y} [(y_0 + s_1p) - y_0] \pmod{p^2} \\ &\equiv f(x_0, y_0) + \frac{\partial f(x_0, y_0)}{\partial x} t_1p + \frac{\partial f(x_0, y_0)}{\partial y} s_1p \pmod{p^2}. \end{aligned}$$

We ignore the later terms of the multivariable Taylor series because all of the later terms are divisible by  $p^2$ . The exact same process for  $g$ .

Since  $f(x_0, y_0) \equiv g(x_0, y_0) \equiv 0 \pmod{p}$  this implies that  $f(x_0, y_0) \equiv \alpha_1 p \pmod{p^2}$  and  $g(x_0, y_0) \equiv \beta_1 p \pmod{p^2}$ , for some  $\alpha_1, \beta_1 \in \{0, 1, \dots, p-1\}$ . Since we are looking for  $x_1, y_1$  to satisfy property (1), we are looking for  $f(x_1, y_1) \equiv 0 \pmod{p^2}$ . This implies that:

$$\begin{aligned} f(x_1, y_1) &= f(x_0 + t_1 p, y_0 + s_1 p) \\ &\equiv \alpha_1 p + \frac{\partial f(x_0, y_0)}{\partial x} t_1 p + \frac{\partial f(x_0, y_0)}{\partial y} s_1 p \equiv 0 \pmod{p^2} \\ &\equiv \alpha_1 + \frac{\partial f(x_0, y_0)}{\partial x} t_1 + \frac{\partial f(x_0, y_0)}{\partial y} s_1 \equiv 0 \pmod{p} \\ &\equiv \frac{\partial f(x_0, y_0)}{\partial x} t_1 + \frac{\partial f(x_0, y_0)}{\partial y} s_1 \equiv -\alpha_1 \pmod{p}. \end{aligned}$$

Do the same for  $g$  and get:

$$g(x_1, y_1) \equiv \frac{\partial g(x_0, y_0)}{\partial x} t_1 + \frac{\partial g(x_0, y_0)}{\partial y} s_1 \equiv -\beta_1 \pmod{p}.$$

Now we have:

$$\begin{pmatrix} \frac{\partial f(x_0, y_0)}{\partial x} & \frac{\partial f(x_0, y_0)}{\partial y} \\ \frac{\partial g(x_0, y_0)}{\partial x} & \frac{\partial g(x_0, y_0)}{\partial y} \end{pmatrix} \begin{pmatrix} t_1 \\ s_1 \end{pmatrix} \equiv \begin{pmatrix} -\alpha_1 \\ -\beta_1 \end{pmatrix}$$

and by using Cramer's rule we can uniquely solve for  $t_1$  and  $s_1$ . Since  $t_1$  and  $s_1$  are unique it implies that  $x_1 = x_0 + t_1 p$  and  $y_1 = y_0 + s_1 p$  are also unique. These  $x_1, y_1$  satisfy (1), so this proves this step of induction.

Now assume we found that the three properties hold for when  $n = 2, 3, \dots, i-1$ , we will now see if it holds for  $n = i$ . We want  $x_i, y_i$  that satisfy properties (2) and (3) above, so  $x_i = x_{i-1} + t_i p^i$  and  $y_i = y_{i-1} + s_i p^i$ , where  $t_i, s_i \in \{0, 1, \dots, p-1\}$ . Then

$$\begin{aligned} f(x_i, y_i) &= f(x_{i-1} + t_i p^i, y_{i-1} + s_i p^i) \\ &\equiv f(x_{i-1}, y_{i-1}) + \frac{\partial f(x_{i-1}, y_{i-1})}{\partial x} t_i p^i + \frac{\partial f(x_{i-1}, y_{i-1})}{\partial y} s_i p^i \pmod{p^{i+1}}. \end{aligned}$$

The exact same process for  $g$ .



Since  $f(x_{i-1}, y_{i-1}) \equiv g(x_{i-1}, y_{i-1}) \equiv 0 \pmod{p^i}$  this implies that  $f(x_{i-1}, y_{i-1}) \equiv \alpha_i p^i \pmod{p^{i+1}}$  and  $g(x_{i-1}, y_{i-1}) \equiv \beta_i p^i \pmod{p^{i+1}}$ , where  $\alpha_i, \beta_i \in \{0, 1, \dots, p-1\}$ .

We are looking for  $f(x_i, y_i) \equiv 0 \pmod{p^{i+1}}$ . This implies that:

$$\begin{aligned} f(x_i, y_i) &\equiv \alpha_i p + \frac{\partial f(x_{i-1}, y_{i-1})}{\partial x} t_i p^i + \frac{\partial f(x_{i-1}, y_{i-1})}{\partial y} s_i p^i \equiv 0 \pmod{p^{i+1}} \\ &\equiv \alpha_i + \frac{\partial f(x_{i-1}, y_{i-1})}{\partial x} t_i + \frac{\partial f(x_{i-1}, y_{i-1})}{\partial y} s_i \equiv 0 \pmod{p} \\ &\equiv \frac{\partial f(x_{i-1}, y_{i-1})}{\partial x} t_i + \frac{\partial f(x_{i-1}, y_{i-1})}{\partial y} s_i \equiv -\alpha_i \pmod{p}. \end{aligned}$$

Do the same for  $g$  and get:

$$g(x_i, y_i) \equiv \frac{\partial g(x_{i-1}, y_{i-1})}{\partial x} t_i + \frac{\partial g(x_{i-1}, y_{i-1})}{\partial y} s_i \equiv -\beta_i \pmod{p}.$$

Then like before we can use Cramer's rule to uniquely solve for  $t_i$  and  $s_i$ . Since  $t_i$  and  $s_i$  are unique it implies that  $x_i$  and  $y_i$  are also unique. These  $x_i, y_i$  satisfy (1), so this proves this step of induction and therefore the induction. So the two sequences  $\{x_i\}$  and  $\{y_i\}$  exist for all  $i$  and are unique, so  $x$  and  $y$  exist and are unique.  $\square$

We can expand this version of Hensel's lemma to apply to  $n$  equations with  $n$  variables, instead of just two equations with two variables. The theorem and proof are the same as above but with more equations, variables, and notation.

**Theorem 2.2.2** Let  $f_1(x_1, \dots, x_n), \dots, f_n(x_1, \dots, x_n)$  be multivariable polynomials with integer coefficients and a Jacobian matrix of:

$$J(x_1, \dots, x_n) = \begin{pmatrix} \frac{\partial f_1}{\partial x_1} & \frac{\partial f_1}{\partial x_2} & \dots & \frac{\partial f_1}{\partial x_n} \\ \frac{\partial f_2}{\partial x_1} & \frac{\partial f_2}{\partial x_2} & \dots & \frac{\partial f_2}{\partial x_n} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial f_n}{\partial x_1} & \frac{\partial f_n}{\partial x_2} & \dots & \frac{\partial f_n}{\partial x_n} \end{pmatrix}$$

Let  $x_{1_0}, \dots, x_{n_0} \in \{0, 1, \dots, p-1\}$  such that:

$$f_1(x_{1_0}, \dots, x_{n_0}) \equiv \dots \equiv f_n(x_{1_0}, \dots, x_{n_0}) \equiv 0 \pmod{p}$$

and  $\det(J(x_{1_0}, \dots, x_{n_0})) \not\equiv 0 \pmod{p}$ . Then there exists a unique  $x_1, \dots, x_n$  belonging to the  $p$ -adic integers such that  $f_1(x_1, \dots, x_n) = \dots = f_n(x_1, \dots, x_n) = 0$  and  $x_1 \equiv x_{1_0} \pmod{p}, \dots, x_n \equiv x_{n_0} \pmod{p}$ .

The proof of this is similar to the proof for Theorem 2.2.1.

Now let's apply the multivariable Hensel's lemma.

**Example 2.2.3** Let look for 11-adic solutions to:

$$\begin{cases} f(x, y, z) = x^3 + y^3 - z^3 \\ g(x, y, z) = y + z \\ h(x, y, z) = z^2 + 2 \end{cases}$$

You will notice that  $x_0 = 1, y_0 = 3, z_0 = 8$  are solutions to  $f(x_0, y_0, z_0) \equiv g(x_0, y_0, z_0) \equiv h(x_0, y_0, z_0) \equiv 0 \pmod{11}$ . Also:

$$J(x_0, y_0, z_0) = \begin{pmatrix} \frac{\partial f(x_0, y_0, z_0)}{\partial x} & \frac{\partial f(x_0, y_0, z_0)}{\partial y} & \frac{\partial f(x_0, y_0, z_0)}{\partial z} \\ \frac{\partial g(x_0, y_0, z_0)}{\partial x} & \frac{\partial g(x_0, y_0, z_0)}{\partial y} & \frac{\partial g(x_0, y_0, z_0)}{\partial z} \\ \frac{\partial h(x_0, y_0, z_0)}{\partial x} & \frac{\partial h(x_0, y_0, z_0)}{\partial y} & \frac{\partial h(x_0, y_0, z_0)}{\partial z} \end{pmatrix} = \begin{pmatrix} 3x_0^2 & 3y_0^2 & 3z_0^2 \\ 0 & 1 & 1 \\ 0 & 0 & 2z_0 \end{pmatrix}$$

If you expand along the first column you get:

$$\det(J(x_0, y_0, z_0)) = 3x_0^2 \begin{vmatrix} 1 & 1 \\ 0 & 2z \end{vmatrix} = 3x_0^2[2z_0 - 0] = 6x_0^2 z_0 = 6(1)^2(8) = 48 \not\equiv 0 \pmod{11}.$$

Which implies that there exists unique 11-adic numbers,  $x, y, z$ , that solve  $f(x, y, z) = g(x, y, z) = h(x, y, z) = 0$ .

In the example above you will notice that  $f(x, y, z) = 0$  is a form of Fermat's last theorem when  $n = 3$ . This implies that Fermat's last theorem has 11-adic solutions.

## 2.3 Hensel's Lifting

We can also use Hensel's lemma to actually find solutions, not just show that they exist. To do this we find solutions modulo  $p$  and then "lift" them to solutions modulo  $p^2$  and further "lift" those solutions to solutions modulo  $p^3$ . Lifting all the way modulo  $p^i$ , for any  $i$  belonging to the positive integers, if need be.

**Example 2.3.1** Lets try to solve  $x^2 + y^2 = 3$  and  $xy = 4$ . This has no solutions in  $\mathbb{R}$ , but what about in  $\mathbb{Q}_p$ ? Let us try to find solutions in  $\mathbb{Q}_7$ . First we need our equations to be homogeneous, so set  $f(x, y) = x^2 + y^2 - 3 = 0$  and  $g(x, y) = xy - 4 = 0$ . You will notice that  $x = 1, y = 4$  are solutions to these two equations modulo 7, because  $(1)^2 + (4)^2 - 3 = 14 \equiv 0$  and  $(1)(4) - 4 = 0$ , so set  $x_0 = 1$  and  $y_0 = 4$ . Now we can "lift" it to solutions modulo  $7^2$  and then solutions modulo  $7^3$  and so on. To do this we need:

$$\begin{aligned}
 f(x_1, y_1) &\equiv 0 && (\text{mod } 7^2) \\
 f(x_0 + 7t_1, y_0 + 7t_2) &\equiv 0 && (\text{mod } 7^2) \\
 f(x_0, y_0) + 7t_1 \frac{\partial f(x_0, y_0)}{\partial x} + 7t_2 \frac{\partial f(x_0, y_0)}{\partial y} &\equiv 0 && (\text{mod } 7^2) \\
 14 + 7t_1(2x_0) + 7t_2(2y_0) &\equiv 0 && (\text{mod } 7^2) \\
 2 + t_1(2x_0) + t_2(2y_0) &\equiv 0 && (\text{mod } 7) \\
 t_1(2) + t_2(8) &\equiv -2 && (\text{mod } 7) \\
 2t_1 + 1t_2 &\equiv 5 && (\text{mod } 7).
 \end{aligned}$$

Now we need to do the same to  $g$ :

$$\begin{aligned}
g(x_1, y_1) &\equiv 0 && (\text{mod } 7^2) \\
g(x_0 + 7t_1, y_0 + 7t_2) &\equiv 0 && (\text{mod } 7^2) \\
g(x_0, y_0) + 7t_1 \frac{\partial g(x_0, y_0)}{\partial x} + 7t_2 \frac{\partial g(x_0, y_0)}{\partial y} &\equiv 0 && (\text{mod } 7^2) \\
0 + 7t_1(y_0) + 7t_2(x_0) &\equiv 0 && (\text{mod } 7^2) \\
0 + t_1(x_0) + t_2(y_0) &\equiv 0 && (\text{mod } 7) \\
t_1(4) + t_2(1) &\equiv 0 && (\text{mod } 7) \\
4t_1 + t_2 &\equiv 0 && (\text{mod } 7).
\end{aligned}$$

We now have two equations and two variables: 
$$\begin{cases} 2t_1 + 1t_2 \equiv 5 \\ 4t_1 + t_2 \equiv 0 \end{cases}$$

We can now solve for  $t_1$  and  $t_2$  using matrices and Cramer's rule:

$$\begin{pmatrix} 2 & 1 \\ 4 & 1 \end{pmatrix} \begin{pmatrix} t_1 \\ t_2 \end{pmatrix} \equiv \begin{pmatrix} 5 \\ 0 \end{pmatrix}$$

We label these matrices  $J \cdot t \equiv d$  respectively.

$$\text{Now } J_{t_1} \equiv \begin{pmatrix} 5 & 1 \\ 0 & 1 \end{pmatrix} \text{ and } J_{t_2} \equiv \begin{pmatrix} 2 & 5 \\ 4 & 0 \end{pmatrix} \text{ and}$$

$$\det(J) = (2)(1) - (1)(4) = 2 - 4 = -2$$

$$\det(J_{t_1}) = (5)(1) - (1)(0) = 5 - 0 = 5$$

$$\det(J_{t_2}) = (2)(0) - (5)(4) = 0 - 20 = -20.$$

By Cramer's rule:

$$t_1 = \frac{\det(J_{t_1})}{\det(J)} = \frac{5}{-2} \equiv \frac{5}{5} \equiv 1 \quad \text{and}$$

$$t_2 = \frac{\det(J_{t_2})}{\det(J)} = \frac{-20}{-2} \equiv \frac{1}{5} \equiv \frac{15}{5} \equiv 3.$$

Now that we have  $t_1$  and  $t_2$  we can solve for  $x_1$  and  $y_1$ .

$$x_1 = x_0 + 7t_1 = 1 + 7(1) = 8, \quad y_1 = y_0 + 7t_2 = 4 + 7(3) = 25.$$

Let us now check if  $x_1 = 8$  and  $y_1 = 25$  do indeed give solutions to  $f(x, y)$  and  $g(x, y)$  modulo  $7^2$ .

$$f(x_1, y_1) = f(8, 25) = (8)^2 + (25)^2 - 3 = 64 + 625 - 3 = 686 \equiv 0 \pmod{7^2}$$

$$g(x_1, y_1) = g(8, 25) = (8)(25) - 4 = 200 - 4 = 196 \equiv 0 \pmod{7^2}.$$

So  $x_1 = 8$  and  $y_1 = 25$  do give solutions modulo  $7^2$ . Now we can “lift” again to solutions modulo  $7^3$ . To do this we need:

$$f(x_2, y_2) \equiv 0 \pmod{7^3}$$

$$f(x_1 + 7^2t_3, y_1 + 7^2t_4) \equiv 0 \pmod{7^3}$$

$$f(x_1, y_1) + 7^2t_3 \frac{\partial f}{\partial x_1} + 7^2t_4 \frac{\partial f}{\partial y_1} \equiv 0 \pmod{7^3}$$

$$686 + 7^2t_3(2x_1) + 7^2t_4(2y_1) \equiv 0 \pmod{7^3}$$

$$686 + 7^2t_3(16) + 7^2t_4(50) \equiv 0 \pmod{7^3}$$

$$14 + 16t_3 + 50t_4 \equiv 0 \pmod{7}$$

$$2t_3 + 1t_4 \equiv 0 \pmod{7}.$$

and

$$\begin{aligned}
g(x_2, y_2) &\equiv 0 && \pmod{7^3} \\
g(x_1 + 7^2t_3, y_1 + 7^2t_4) &\equiv 0 && \pmod{7^3} \\
g(x_1, y_1) + 7^2t_3 \frac{\partial g}{\partial x_1} + 7^2t_4 \frac{\partial g}{\partial y_1} &\equiv 0 && \pmod{7^3} \\
196 + 7^2t_3(y_1) + 7^2t_4(x_1) &\equiv 0 && \pmod{7^3} \\
196 + 7^2t_3(25) + 7^2t_4(8) &\equiv 0 && \pmod{7^3} \\
4 + 25t_3 + 8t_4 &\equiv 0 && \pmod{7} \\
4t_3 + 8t_4 &\equiv -4 && \pmod{7} \\
4t_3 + 1t_4 &\equiv 3 && \pmod{7}.
\end{aligned}$$

Once again we have two equations and two variables so we can solve using matrices:

$$\begin{pmatrix} 2 & 1 \\ 4 & 1 \end{pmatrix} \begin{pmatrix} t_3 \\ t_4 \end{pmatrix} \equiv \begin{pmatrix} 0 \\ 3 \end{pmatrix}$$

We label these matrices  $J \cdot t' \equiv d'$  respectively.

$$\text{Now } J_{t_3} \equiv \begin{pmatrix} 0 & 1 \\ 3 & 1 \end{pmatrix} \text{ and } J_{t_4} \equiv \begin{pmatrix} 2 & 0 \\ 4 & 3 \end{pmatrix} \text{ and}$$

$$\det(J) = (2)(1) - (1)(4) = 2 - 4 = -2$$

$$\det(J_{t_3}) = (0)(1) - (1)(3) = 0 - 3 = -3$$

$$\det(J_{t_4}) = (2)(3) - (0)(4) = 6 - 0 = 6.$$

By Cramer's rule:

$$t_3 = \frac{\det(J_{t_3})}{\det(J)} = \frac{-3}{-2} \equiv \frac{4}{5} \equiv \frac{25}{5} \equiv 5$$

$$t_4 = \frac{\det(J_{t_4})}{\det(J)} = \frac{6}{-2} \equiv \frac{6}{5} \equiv \frac{20}{5} \equiv 4.$$

Now that we have  $t_3$  and  $t_4$  we can solve for  $x_2$  and  $y_2$ .

$$x_2 = x_1 + 7^2 t_3 = 8 + 7^2(5) = 8 + 245 = 253, \quad y_2 = y_1 + 7^2 t_4 = 25 + 7^2(4) = 25 + 196 = 221$$

Let us now check if  $x_2 = 253$  and  $y_2 = 221$  do indeed give solutions to  $f(x_2, y_2)$  and  $g(x_2, y_2)$  modulo  $7^3$ .

$$f(x_2, y_2) = f(253, 221) = (253)^2 + (221)^2 - 3 = 64009 + 48841 - 3 = 112847 \equiv 0 \pmod{7^3}$$

$$g(x_2, y_2) = g(253, 221) = (253)(221) - 4 = 55913 - 4 = 55909 \equiv 0 \pmod{7^3}$$

So  $x_2 = 253$  and  $y_2 = 221$  do give solutions to  $f$  and  $g$  modulo  $7^3$ . We can also “lift” this to get solutions modulo higher powers of 7 by doing exactly what we did above, but this quickly becomes time consuming.

The  $t_i$  and  $s_i$  are the coefficients to the  $p$ -adic expansions of  $x$  and  $y$ .

## Chapter 3

# Field extensions of $\mathbb{Q}_p$

### 3.1 Field extensions of $\mathbb{Q}_p$

For this section we let  $F$  be a locally compact field with a non-Archimedean norm  $\| \cdot \|$ .

**Definition 3.1.1** Let  $V$  be a finite dimensional vector space over  $F$ . Then a norm on  $V$ , called a *field norm*, is a map  $\| \cdot \|_V$ , from  $V$  to the non-negative real numbers, satisfying:

- (1)  $\|x\|_V = 0$  iff  $x = 0$
- (2)  $\|ax\|_V = \|a\| \|x\|_V$ , for all  $a \in F$  and  $x \in V$
- (3)  $\|x + y\|_V \leq \|x\|_V + \|y\|_V$ .

**Theorem 3.1.2** If  $V$  is a finite dimensional vector space over a locally compact field  $F$ , then all norms on  $V$  are equivalent.

**Corollary 3.1.3** Let  $V = K$  be a field. Then there is at most one norm of  $K$ , denoted  $\| \cdot \|_K$ , as a field that extends  $\| \cdot \|$  of  $F$ . i.e., such that  $\|a\|_K = \|a\|$  for  $a \in F$ .

proof for this theorem and corollary is available in [1 pg 58-59].



Before we get into extensions of fields we must first define a different type of “norm” and then extend the  $p$ -adic norm to these fields.

**Definition 3.1.4** Let  $K = F(\alpha)$  be a finite extension of a field  $F$  generated by an element  $\alpha$  which satisfies the monic irreducible polynomial  $\text{irred}(\alpha) = x^n + a_1x^{n-1} + \dots + a_{n-1}x + a_n = 0$  for  $a_i \in F$ . Then the *norm of  $\alpha$  from  $K$  to  $F$*  is:

$$\mathbb{N}_{K/F}(\alpha) = \det(A_\alpha)$$

where the matrix for the  $F$ -linear map  $\sigma : K \rightarrow K$  given by  $\sigma(x) = \alpha x$  is  $A_\alpha$ .

**Proposition 3.1.5** The norm of  $\alpha$  from  $K$  to  $F$ ,  $\mathbb{N}_{K/F}(\alpha) = \det(A_\alpha)$  is equivalent to the following:

- (1)  $\mathbb{N}_{K/F}(\alpha) = (-1)^n a_n$ , where  $a_n$  is the constant term of  $\text{irred}(\alpha)$  and  $n$  is its degree.
- (2)  $\mathbb{N}_{K/F}(\alpha) = \prod_{i=1}^n \alpha_i$ , where  $\alpha_i$  are the conjugates of  $\alpha = \alpha_1$  over  $F$ .

**Proof:** Let us choose  $\{1, \alpha, \dots, \alpha^{n-1}\}$  as the basis for  $K$  over  $F$ . With this basis then:

$$A_\alpha = \begin{pmatrix} 0 & 0 & 0 & \cdots & 0 & -a_n \\ 1 & 0 & 0 & \cdots & 0 & -a_{n-1} \\ 0 & 1 & 0 & \cdots & 0 & -a_{n-2} \\ 0 & 0 & 1 & \cdots & 0 & -a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 1 & -a_1 \end{pmatrix}$$

which, if you expand along the first row it is easy to see that:

$$\det(A_\alpha) = (-1)^{n+1}(-a_n) = (-1)^{n+2}a_n = (-1)^n a_n.$$

This proves (1).

Factoring the monic irreducible polynomial gets:

$$\text{irred}(\alpha) = x^n + a_1x^{n-1} + \dots + a_{n-1}x + a_n = \prod_{i=1}^n (x - \alpha_i)$$

and it is easy to see that:

$$\prod_{i=1}^n \alpha_i = (-1)^n a_n$$

this proves (2). □

Notice that proposition 3.1.5 above implies that  $\mathbb{N}_{K/F}(\alpha)$  belongs to the  $p$ -adic numbers,  $\mathbb{Q}_p$ . Also for any  $\alpha \in K$ ,  $\mathbb{N}_{K/F}(\alpha)$  is defined as the determinant of the matrix of multiplication by  $\alpha$  in  $K$ , it follows that:

$$\mathbb{N}_{K/F}(\alpha\beta) = \mathbb{N}_{K/F}(\alpha)\mathbb{N}_{K/F}(\beta).$$

Now suppose  $\alpha$  has degree  $n$ , i.e.,  $\text{irred}(\alpha) = x^n + a_1x^{n-1} + \dots + a_{n-1}x + a_n$ . Let  $K$  be the field obtained by adjoining  $\alpha$  and its conjugates to  $\mathbb{Q}_p$ , this is a finite Galois extension of  $\mathbb{Q}_p$ . Suppose we have an extension  $\|\cdot\|$  of  $|\cdot|_p$  to  $K$ . Then by corollary 3.1.3, such a field norm  $\|\cdot\|$  is unique. Let  $\sigma$  be an automorphism that takes  $\alpha$  to a conjugate  $\alpha'$ . The map  $\|\cdot\|' : F \rightarrow \mathbb{R}$  given by  $\|x\|' = \|\sigma(x)\|$  is clearly a field norm which extends  $|\cdot|_p$  on  $K$ . This means  $\|\cdot\|' = \|\cdot\|$ , so  $\|\alpha\| = \|\alpha\|' = \|\sigma(\alpha)\| = \|\alpha'\|$ . This implies that all conjugates have the same norm. Then the norm of  $|\mathbb{N}_{\mathbb{Q}_p(\alpha)/\mathbb{Q}_p}(\alpha)|_p$ , which is in  $\mathbb{Q}_p$  is:

$$\begin{aligned} |\mathbb{N}_{\mathbb{Q}_p(\alpha)/\mathbb{Q}_p}(\alpha)|_p &= \|\mathbb{N}_{\mathbb{Q}_p(\alpha)/\mathbb{Q}_p}(\alpha)\| \\ &= \left\| \prod_{i=1}^n \alpha_i \right\| \\ &= \prod_{i=1}^n \|\alpha_i\| \\ &= \|\alpha\|^n. \end{aligned}$$

Which implies that  $\|\alpha\| = |\mathbb{N}_{\mathbb{Q}_p(\alpha)/\mathbb{Q}_p}(\alpha)|_p^{1/n}$ .

If  $K$  is any field containing  $\alpha$ , then:

$$\mathbb{N}_{K/\mathbb{Q}_p}(\alpha) = (\mathbb{N}_{\mathbb{Q}_p(\alpha)/\mathbb{Q}_p}(\alpha))^{[K:\mathbb{Q}_p(\alpha)]},$$

and

$$n = [\mathbb{Q}_p(\alpha) : \mathbb{Q}_p] = \frac{[K : \mathbb{Q}_p]}{[K : \mathbb{Q}_p(\alpha)]},$$

so we can also define  $\|\alpha\|$  to be

$$|\mathbb{N}_{K/\mathbb{Q}_p}(\alpha)|_p^{1/[K:\mathbb{Q}_p(\alpha)]}.$$

From now on we use  $|\cdot|_p$  to denote the extension of the  $p$ -adic norm, instead of  $\|\cdot\|$ .

**Theorem 3.1.6** Let  $K$  be a finite extension of  $\mathbb{Q}_p$ . Then there exists a field norm on  $K$  which extends the norm  $|\cdot|_p$  on  $\mathbb{Q}_p$ .

Proof for this theorem is available in [1 pg 61].

Both theorem 3.1.6 and corollary 3.1.3 imply that there can be only one finite field extension of the  $p$ -adic norm to any finite extension field  $K$  on  $\mathbb{Q}_p$ .

**Definition 3.1.7** Let  $K$  be a finite extension field of  $\mathbb{Q}_p$ , and let  $A$  be the set of all  $x \in K$  such that  $x$  is a solution to polynomials of the form:

$$x^n + a_1x^{n-1} + \dots + a_{n-1}x + a_n = 0$$

with  $a_i \in \mathbb{Z}_p$ . Then we call  $A$  the *integral closure of  $\mathbb{Z}_p$  in  $K$* .

**Proposition 3.1.8** Let  $k$  be a finite extension of  $\mathbb{Q}_p$  of degree  $n$ , and let:

$$A = \{x \in K \mid |x|_p \leq 1\}$$

$$M = \{x \in K \mid |x|_p < 1\}.$$

Then  $A$  is a ring, which is the integral closure of  $\mathbb{Z}_p$  in  $K$ .  $M$  is its unique maximal ideal, and  $A/M$  is a finite extension of  $\mathbb{F}_p$  of at most degree  $n$ .

**Proof:** First we need to show that  $A$  is a ring.  $A$  has the structure of  $K$ , so we just need to check if it is closed under addition and multiplication. If  $x, y \in A$ , then  $|xy|_p \leq 1$ , so  $xy \in A$ . We also have  $|x + y|_p \leq \max(|x|_p, |y|_p) \leq 1$ , so  $x + y \in A$ . And  $A$  is closed under addition and multiplication so  $A$  is a ring. For  $a \in A$  and  $m \in M$  we have  $|am|_p = |a|_p|m|_p < 1$  which implies  $am \in M$ .  $M$  is an ideal because it is an additive subgroup of  $A$ .

Now to show that  $A$  is the integral closure of  $\mathbb{Z}_p$  in  $K$ . Suppose  $\alpha \in A$ , then  $|\alpha|_p \leq 1$ . Since  $\alpha \in K$ , then  $\alpha$  is algebraic over  $\mathbb{Q}_p$  with:

$$\text{irred}(\alpha) = x^m + a_{m-1}x^{m-1} + \dots + a_0 = \prod_{i=1}^m (x - \alpha_i)$$

where  $\alpha_i$  are conjugates of  $\alpha$ . All the conjugates of  $\alpha$  have the same norm so  $|\alpha_i|_p \leq 1$  and  $\alpha_i \in A$ . Also the coefficients of  $\text{irred}(\alpha)$  are products and sums of the  $\alpha_i$ , which implies  $|a_i|_p \leq 1$ . Which implies  $a_i \in \mathbb{Z}_p$ , and  $\text{irred}(\alpha) \in \mathbb{Z}_p[x]$ .

Also if  $\alpha \in K$  is the root of a polynomial in  $\mathbb{Z}_p[x]$ , it is also clear that  $\text{irred}(\alpha) \in \mathbb{Z}_p[x]$ . Now we let the degree of  $\text{irred}(\alpha)$  be  $m$  so that  $\alpha^m + a_{m-1}\alpha^{m-1} + \dots + a_0 = 0$ . Suppose  $|\alpha|_p > 1$ , then:

$$\begin{aligned} |\alpha|_p^m &= |\alpha^m|_p = |-a_{m-1}\alpha^{m-1} - \dots - a_0|_p \\ &\leq \max(|a_{m-1}\alpha^{m-1}|_p, \dots, |a_0|_p) \\ &\leq \max(|\alpha|_p^{m-1}, \dots, 1), \text{ since } |a_i|_p \leq 1 \\ &= |\alpha|_p^{m-1} \end{aligned}$$

but since  $|\alpha|_p > 1$  this is a contradiction. So then  $|\alpha|_p \leq 1$  and  $\alpha \in A$ . Then  $A$  is then integral closure of  $\mathbb{Z}_p$  in  $K$ .

Now we try to see if  $M$  is a maximal ideal. Suppose  $N$  is an ideal such that  $M \subset N \subset A$ . Then there exists an  $\alpha \in N$  but  $\alpha \notin M$ . Which implies  $|\alpha|_p = 1$  so  $|1/\alpha|_p = 1$  and  $1/\alpha \in A$ . But then  $\alpha \frac{1}{\alpha} = 1 \in N$ , but this is a contradiction since  $N \neq A$ . So  $M$  is a maximal ideal in  $A$ .

$A$  is a ring and  $M$  is maximal, so  $A/M$  is a field. From the definition of  $M$  we have  $M \cap \mathbb{Z}_p = p\mathbb{Z}_p$ . If  $a \in \mathbb{Z}_p$ , then  $|a|_p \leq 1$  so  $a \in A$ . If  $a, b \in \mathbb{Z}_p$ , then  $a - b \in M \cap \mathbb{Z}_p = p\mathbb{Z}_p$  iff  $a + M$  and  $b + M$  represent the same coset. But this means that for  $a + p\mathbb{Z}_p \in \mathbb{Z}_p/p\mathbb{Z}_p = \mathbb{F}_p$ , there exists a corresponding  $a + M \in A/M$ , i.e.,  $\mathbb{F}_p$  lies in  $A/M$ . This means that  $A/M$  is an extension field of  $\mathbb{F}_p$ .

Now we need to show that  $[A/M : \mathbb{F}_p] \leq n$ , to do this we need to show that any linear combination of  $n + 1$  elements over  $\mathbb{F}_p$  is linearly dependent. So take:

$$a_1 + M, a_1 + M, \dots, a_{n+1} + M \in A/M, \text{ for } a_i \in A.$$

Since each  $a_i \in K$  then the  $a_i$  are linearly dependent over  $\mathbb{Q}_p$ . So:

$$b_1 a_1 + \dots + b_{n+1} a_{n+1} = 0, \text{ for } b_i \in \mathbb{Q}_p.$$

If we let  $m = \min(\text{ord}_p b_1, \dots, \text{ord}_p b_{n+1})$ , then we can multiply the above equation by  $p^{-m}$  and obtain a similar equation where all coefficients are in  $\mathbb{Z}_p$  and at least one is not in  $p\mathbb{Z}_p$  (if  $m < 0$  then we multiply by  $p^{|m|}$ ). Thus if we map the equation above onto  $A/M$ , then we get an equation of the form:

$$b_1 a_1 + \dots + b_{n+1} a_{n+1} + M = 0, \text{ for } b_i \in \mathbb{F}_p.$$

Any  $b_i \in p\mathbb{Z}_p$  is mapped to  $0 + M$  in  $A/M$ , but this is not the case for all the  $b_i$ . Thus, the  $\{a_i\}$  are linearly dependent. This proves the claim.  $\square$

**Definition 3.1.9** The field  $A/M$  as described in theorem 3.1.8 is called the *residue field* of  $K$ .

Putting theorem 3.1.2 and 3.1.6 together, we can conclude that  $|\cdot|_p$  has a unique extension to any finite field extension of  $\mathbb{Q}_p$ , that we also denoted  $|\cdot|_p$ . Since the algebraic closure of  $\mathbb{Q}_p$ , denoted  $\overline{\mathbb{Q}_p}$ , is the union of such extensions, then  $|\cdot|_p$  extends uniquely to  $\overline{\mathbb{Q}_p}$ . This means that if  $\alpha \in \overline{\mathbb{Q}_p}$  has  $\text{irred}(\alpha) = x^n + a_1x^{n-1} + \dots + a_n$ , then  $|\alpha|_p = |a_n|_p^{1/n}$ .

Let  $K$  be an extension of  $\mathbb{Q}_p$  of degree  $n$ . For  $\alpha \in K$  we define:

$$\text{ord}_p \alpha = -\log_p |\alpha|_p = -\log_p |\mathbb{N}_{K/\mathbb{Q}_p}(\alpha)|_p^{1/n} = -\frac{1}{n} \log_p |\mathbb{N}_{K/\mathbb{Q}_p}(\alpha)|_p.$$

For any  $\alpha \in \mathbb{Q}_p$ , this agrees with our earlier definition of  $\text{ord}_p$ , and clearly it still has the same multiplicative property.

The image of  $K$  under the  $\text{ord}_p$  map is contained in:

$$(1/n)\mathbb{Z} = \{x \in \mathbb{Q} \mid nx \in \mathbb{Z}\}$$

and the image is an additive subgroup of  $(1/n)\mathbb{Z}$ , so for some positive integer  $e$  dividing  $n$ , it is of the form  $(1/e)\mathbb{Z}$ .

**Definition 3.1.10** The integer  $e$  mentioned above is called the **index of ramification** of  $K$  over  $\mathbb{Q}_p$ . If  $e = 1$ , then  $K$  is called an **unramified extension** of  $\mathbb{Q}_p$ . If  $e = n$ , then  $K$  is called **totally ramified**.

Our upcoming theorem will involve an ‘‘Eisenstein equation’’. It is well known that such equations are irreducible over  $\mathbb{Q}$ , but the same happens to be true over  $\mathbb{Q}_p$ . We will not prove it, but it is a useful fact for the proof of the upcoming theorem.

**Theorem 3.1.11** If  $K$  is totally ramified and  $\alpha \in K$  has the property  $\text{ord}_p \alpha = (1/e)$ , then  $\alpha$  satisfies an “Eisenstein equation”:

$$x^e + a_{e-1}x^{e-1} + \dots + a_0 = 0, \quad a_i \in \mathbb{Z}_p$$

where  $a_i \equiv 0 \pmod{p}$  for all  $i$ , and  $a_0 \not\equiv 0 \pmod{p^2}$ . Conversely, if  $\beta$  is a root of such an Eisenstein equation over  $\mathbb{Q}_p$ , then  $\mathbb{Q}_p(\beta)$  is totally ramified over  $\mathbb{Q}_p$  of degree  $e$ .

**Proof:** The  $a_i$  are symmetric polynomials in the conjugates of  $\alpha$ , and since  $\text{ord}_p \alpha = (1/e)$ , all of the conjugates have  $|\cdot|_p = 1/p^{1/e}$ , which implies that  $|a_i|_p \leq 1$ . But for  $a_0$  we have  $|a_0|_p = |\alpha|_p^e = 1/p$  so  $a_0 \not\equiv 0 \pmod{p^2}$ .

Conversely, since an Eisenstein equation is irreducible over  $\mathbb{Q}_p$ , adjoining a root  $\beta$  gives us an extension of degree  $e$ . Since we have  $a_0 = 1$ , it implies:

$$\text{ord}_p \beta = -\log_p |\beta|_p = -\frac{1}{e} \log_p |a_0|_p = (1/e) \text{ord}_p a_0 = (1/e),$$

so  $\mathbb{Q}_p(\beta)$  is totally ramified over  $\mathbb{Q}_p$ . □

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